

Structure of ALFI committees 2016/2017

B Promotion & Conferences Committee

Chairman: **Michael Ferguson**
(Ernst & Young S.A.)

ALFI Coordinator: **Anouk Agnes**

- B** Promotion & Conferences Steering Committee
Michael Ferguson
(Ernst & Young S.A.)
- B1** ALFI Conferences Advisory Committee - Euoprean Asset Management
- B2** ALFI Conference Advisory Committee - Global Distribution
- B3** Risk Management Conference
Marco Zwick
(RBC Investor Services Bank S.A.)
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
- B4** ALFI Conferences Advisory Committee - PE & RE
- B5** ALFI Golf Event
Ulrich Binninger (ULB Consult S.à.r.l.)
- B6** Roadshows
Michèle Eisenhuth
(Arendt & Medernach S.A.)
- B6.1** ALFI Roadshow to Frankfurt
Doris Marx (Deutsche Asset Management S.A.)
Catherine Rückel (Pricewaterhouse Coopers, Société Coopérative)
- B6.2** ALFI Roadshow to Switzerland
Gilbert Schintgen (UBS Fund Management (Luxembourg) S.A.)
Germain Birgen
(Banque de Luxembourg S.A.)
- B6.3** ALFI Roadshow to London
Charles Muller (KPMG Luxembourg, Société coopérative)
- B7** TA & Distribution Forum Conference
Josée-Lynda Denis (ALFI)
- B8** Leading Edge Conference
Christophe Lentschat
(Arendt Services S.A.)
Graham Goodhew
- B9** Hong Kong
Ching Yng Choi
(ALFI, Asia Representative Office)
- B10** Middle East
Germain Birgen
(Banque de Luxembourg S.A.)

B10.1 Dubai

Emmanuelle Entringer
(Arendt & Medernach S.A.)

B11 Singapore

Valérie Mantot (Sanne Group)
Ching Yng Choi
(ALFI, Asia Representative Office)

C Alternative Investments Committee

Chairman: **Claude Niedner** (Arendt & Medernach S.A.)

ALFI Coordinator: **Susanne Weismüller**

- C1** Alternative Investments Steering Committee
Claude Niedner
(Arendt & Medernach S.A.)
- C1.1** AIFMD review and developments
Claude Niedner
(Arendt & Medernach S.A.)
- C2** Hedge Funds
Jérôme Wigny
(Elvinger Hoss Prussen S.A.)
Régis Malcourant (Pricewaterhouse Coopers, Société Coopérative)
- C3** Real Estate Investment Funds (REIF)
Keith Burman
(ManagementPlus (Luxembourg) S.A.)
Michael Hornsby (Ernst & Young S.A.)
- C3.1** REIF Financial Framework
Lize Griffiths (Deloitte Luxembourg)
Alison Macleod (KPMG Luxembourg, Société Cooperative)
- C3.2** REIF Best Practices – Fund Operations
Johan Beavers (Ernst & Young S.A.)
Francesco Piantoni
(Deloitte Luxembourg)
- C3.3** REIF Marketing
Catherine Martougin
(Baker & McKenzie Luxembourg)
Stéphane Haot
- C3.3.1** REIF Publications
Catherine Gauthier (Brown Brothers Harriman (Luxembourg S.C.A.)
Valérie Toller (Deloitte Luxembourg)
- C3.3.2** REIF Events
Lauren Williams (Pricewaterhouse Coopers, Société Coopérative)
Johan Terblanche
(Dechert (Luxembourg) LLP)

Structure of ALFI committees 2016/2017

C3.3.3 REIF Industry Associations & Distribution Channels
Sven Rein (Pancura)
Pascal Hernalsteen (Palmarium Fund Partners S.à.r.l.)

C3.4 REIF Professional Development
David Louis (Charles Russell Speechlys Luxembourg)
Conor Cleere (Citco Bank Nederland N.V. Luxembourg Branch)

C3.5 REIF Strategy
Pierre Kreemer (KPMG Luxembourg, Société coopérative)
Alan Dundon (Alter Domus Luxembourg S.à.r.l.)

C3.5.1 REIF Distressed Asset Experience
Pierre Kreemer (KPMG Luxembourg, Société coopérative)

C4 Private Equity & Venture Capital Funds
Gilles Dusemon (Arendt & Medernach S.A.)
Alain Kinsch (Ernst & Young S.A.)

C4.1 PE & VC Legal Aspect
Frédérique Lifrange (Elvinger Hoss Prussen S.A.)

C4.2 PE & VC Publications
Joachim Kuske (Elvinger Hoss Prussen S.A.)
Arne Bolch (Clifford Chance)

C5 Infrastructure Funds
Amaury Evrard (Pricewaterhouse Coopers, Société Coopérative)
Jean-Christian Six (Allen & Overy Luxembourg)
ALFI Coordinator: David Zackenfels

C5.1 Infrastructure Funds Best Practices
John Ravoisin (Pricewaterhouse Coopers, Société Coopérative)
Frauke Carola Oddone (KPMG Luxembourg, Société coopérative)

C5.2 Infrastructure Funds – Tax, Legal and Regulatory
Thierry Braem (Pricewaterhouse Coopers, Société Coopérative)
Antoine Badot (KPMG Luxembourg, Société coopérative)

C5.3 Infrastructure Funds – Promotion of Luxembourg
Pierre Mallet (Pricewaterhouse Coopers, Société Coopérative)
Muhammad Tahir Khan (KPMG Luxembourg, Société coopérative)

C6 AIFMD Reporting
Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)
Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)

C6.1 AIFMD Reporting – Annual reports
Nathalie Dogniez (Pricewaterhouse Coopers, Société coopérative)
Leon Schwab-Mulvany (BlackRock Fund Management Company S.A.)

C7 Debt funds
Isabelle Dauvergne (Pricewaterhouse Coopers, Société coopérative)
Pierre Kreemer (KPMG Luxembourg, Société coopérative)

D Fund Distribution Committee

Chairman: David Claus (The Bank of New York Mellon (Luxembourg) S.A.)
ALFI Coordinator: Pierre Oberlé

D1 Dematerialised Mutual Fund Sales Agreement
Noel Fessey (Schroder Investment Management (Luxembourg) S.A.)

D2 LfF/ALFI RQFII
Nicolas Mackel (Luxembourg for Finance)
Camille Thommes (ALFI)

D4 UCITS IV – Simplified Notification Procedure
Michael John Flynn (BlackRock Fund Management Company S.A.)
ALFI Coordinator: Evelyne Christiaens

D5 Distribution Steering Committee
David Claus (The Bank of New York Mellon (Luxembourg) S.A.)

E Professional Training Committee

Chairman: Emmanuel Bégat (ME Business Solutions S.à.r.l.)
ALFI Coordinator: Jean-Jacques Picard

F

Legal and Regulatory Committee

Chairman International Affairs:

Hermann Beythan (Linklaters LLP)

Chairman National Affairs:

Gast Juncker (Elvinger Hoss Prussen S.A.)

ALFI Coordinator: **Evelyne Christiaens**

F1 International Affairs and Developments

Hermann Beythan (Linklaters LLP)

F1.1 UCITS Developments

Hermann Beythan (Linklaters LLP)

F1.2 European Marketing Rules

Hermann Beythan (Linklaters LLP)

Frédérique Lifrange

(Elvinger Hoss Prussen S.A.)

F1.3 Exchange Traded Funds (ETF)

Philippe Lenges

(Deloitte Luxembourg)

F1.4 Investor Protection

Heimo Plössnig (Assenagon Asset Management S.A.)

Alastair Woodward

(Schroder Investment Management (Luxembourg) S.A.)

F1.4.1 MiFID/PRIIPs costs and charges

Michael John Flynn (BlackRock Fund Management Company S.A.)

Daniela Klasen-Martin

(Crestbridge S.A.)

F1.4.2 MiFID PRIIPs performance scenarios

Olivia Moessner

(Elvinger Hoss Prussen S.A.)

Gregory Surply (Franklin Templeton International Services S.à.r.l.)

F1.4.3 MiFID/PRIIPs risks

Claudy Huart (SMBC Nikko

Investment Fund Management Company S.A.)

F1.4.4 MiFID independent advice and inducements

Olivia Tournier

F1.4.5 MiFID product governance

Florence Stainier

(Arendt & Medernach S.A.)

F1.4.6 MiFID suitability and appropriateness

Josiane Schroeder (Linklaters LLP)

F1.4.7 PRIIPs general aspects

Bernard Lambeau

(More Carrot (Europe) S.à.r.l.)

Mario Mantrisi (InReg S.à.r.l.)

F1.4.8 MiFID Research fees

Alastair Woodward

(Schroder Investment Management (Luxembourg) S.A.)

F1.5 Capital Markets Union

Claude Niedner

(Arendt & Medernach S.A.)

Geoff Radcliffe (BlackRock Fund Management Company S.A.)

F1.6 Securitisation

Matthieu Taillandier

(Arendt & Medernach S.A.)

F2 National Affairs and Implementation

Gast Juncker

(Elvinger Hoss Prussen S.A.)

F2.1 UCITS Implementation

Gast Juncker

(Elvinger Hoss Prussen S.A.)

F2.2 UCITS Eligible Assets

Emmanuel Frédéric Henrion

(Linklaters LLP)

Michèle Eisenhuth

(Arendt & Medernach S.A.)

Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)

F2.3 Securities Lending

Jacques Elvinger

(Elvinger Hoss Prussen S.A.)

F2.4 Anti-Money Laundering (AML)

Marco Zwick

(RBC Investor Services Bank S.A.)

F2.6 Changes to Luxembourg Company Law

Frédérique Lifrange

(Elvinger Hoss Prussen S.A.)

F2.7 Money Market Funds

Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)

F2.7.1 Money Market Funds Implementation and Operational Considerations

Nathalie Dogniez (Pricewaterhouse Coopers, Société Coopérative)

F2.8 Asset Management and Systemic Risk

Geoff Radcliffe (BlackRock Fund Management Company S.A.)

Nathalie Dogniez (Pricewaterhouse Coopers, Société coopérative)

F2.9 Remuneration Policies in the Financial Sector

Dan Hedley (Fidelity International)

Structure of ALFI committees 2016/2017

- F3 Pensions & Long Term Savings**
Silke Bernard (Linklaters LLP)
Gerd Gebhard
(Pecoma Actuarial and Risk S.A.)
ALFI Coordinator: David Zackenfels
- F3.1 European Long Term Investment Funds**
Silke Bernard (Linklaters LLP)
Yann Power
(ME Business Solutions S.à.r.l.)
- F4 General Data Protection Regulation**
Olivier Reisch (Linklaters LLP)
Frédéric Vonner (Pricewaterhouse
Coopers, Société Coopérative)

G Market Infrastructure Committee

Chairmen: **Frédéric Pérard** (BNP Paribas
Securities Services, succursale de Luxembourg)
Geoff Radcliffe (BlackRock Fund Management
Company S.A.)
ALFI Coordinators: **Alexander Fischer**

- G1 Market Infrastructure Steering Committee**
Frédéric Pérard
(BNP Paribas Securities Services,
succursale de Luxembourg)
- G2 Total Expense Ratio (TER)**
Daniela Klasen-Martin
(Crestbridge S.A.)
- G2.1 Disclosure of Transaction Costs**
Nadia Faber (Ernst & Young S.A.)
- G2.2 Total Expense Ratio (TER) Survey**
Daniela Klasen-Martin
(Crestbridge S.A.)
- G3 Global Investment Performance Standards (GIPS)**
Fanny Sergent (Pricewaterhouse
Coopers, Société Coopérative)
- G4 Swing Pricing**
Geoff Radcliffe (BlackRock Fund
Management Company S.A.)
Philippe Ringard (JPMorgan Asset
Management (Europe) S.à.r.l.)
- G4.1 Swing Pricing Survey**
Craig Blair (Franklin Templeton
International Services S.à.r.l.)
- G5 Solvency II**
Xavier Zaegel
(Deloitte Luxembourg)
Chrystelle Veeckmans (KPMG
Luxembourg, Société coopérative)

- G6 EMIR/OTC Derivatives**
Henning Schwabe
(Arendt & Medernach S.A.)
Sven Muehlenbrock (KPMG
Luxembourg, Société coopérative)
- G7 Fund Accounting and Reporting Requirements**
Philippe Lenges
(Deloitte Luxembourg)
- G8 International Financial Reporting Standards (IFRS)**
Justin Griffiths (Deloitte Luxembourg)
- G9 Target 2 Securities/Central Securities Depositories**
Michael Weber
(Allianz Global Investors GmbH)
- G10 Statistics & Research**
Olivier Renault (Société Générale
Securities Services Luxembourg)
Alfi Coordinator : Régine Rugani
- G10.1 Data Collection and Nominalization**
- G10.2 Economic Research**
Paolo Vinciarelli (Banque et Caisse
d'Epargne de l'Etat, Luxembourg)

H Responsible Investing Committee

Chairmen: **Christian Hertz** (Luxembourg
Investment Solutions S.A.)
Corinne Molitor (Innpact S.à.r.l.)
ALFI Coordinator: **Anouk Agnes**

- H1 RI Legal and Policy**
Christian Hertz (Luxembourg
Investment Solutions S.A.)
 - H2 RI Market Intelligence and Outreach**
Charles Muller (KPMG Luxembourg,
Société coopérative)
 - H3 Impact Investing Conference**
Annemarie Arens (LuxFLAG)
ALFI Coordinator:
Jean-Jacques Picard
 - H4 RI Fund Labels**
Laurent Capolaghi
(Ernst & Young S.A.)
 - H4.1 LuxFLAG Climate Finance Label**
Laurent Capolaghi
(Ernst & Young S.A.)
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J Tax Committee

Chairman: **Georges Bock**
(KPMG Luxembourg, Société coopérative)
ALFI Coordinator: **Marc-André Bechet**

- J1 Tax Steering Committee**
Georges Bock (KPMG Luxembourg, Société coopérative)
- J2 Subscription Tax**
Oliver Weber (Pricewaterhouse Coopers, Société Coopérative)
Jacques Elvinger (Elvinger Hoss Prussen S.A.)
- J2.1 Electronic filing subscription tax returns**
Kerstin Boernecke (Pricewaterhouse-Coopers, Société Cooperative)
Uwe Gillin (DZ Privatbank S.A.)
- J3 European Savings Directive (EuSD)**
Georges Bock (KPMG Luxembourg, Société coopérative)
- J4 Double Tax Treaties**
Keith O'Donnell (Atoz S.A.)
- J5 Value Added Tax, VAT**
Michel Lambion (Deloitte Luxembourg)
- J6 CRS/Foreign Account Tax Compliance Act (FATCA) Steering**
Gérard Laures (KPMG Luxembourg, Société coopérative)
- J6.1 CRS/FATCA Lobbying and Interpretations**
Gérard Laures (KPMG Luxembourg, Société coopérative)
- J6.2 CRS/FATCA Operational Steering Committee**
Thierry Detz (BNP Paribas Securities Services, succursale de Luxembourg)
Gudrun Goebel (FundRock Management Company S.A.)
- J6.3 CRS/FATCA Entities Scoping, KYC, data protection**
Maria Teresa Fulci-de Rosée (Crestbridge S.A.)
Thierry Detz (BNP Paribas Securities Services, succursale de Luxembourg)

- J6.4 CRS/FATCA Reporting**
Patrice Fritsch (Ernst & Young S.A.)
- J7 AIFMD Tax Aspects**
Raymond Krawczykowski (Deloitte Luxembourg)
- J8 Financial Transaction Tax (FTT)**
Thorsten Vollmer (Deutsche Asset & Wealth Management Investment GmbH)
- J9 BEPS**
Georges Bock (KPMG Luxembourg, Société coopérative)
- J10 Strategic Tax Issues**
Georges Bock (KPMG Luxembourg, Société coopérative)
- J11 German tax**
Judith Mertesdorf-Perathoner (Franklin Templeton International Services S.à.r.l.)
Holger Hartmann (bepartners Bödecker Ernst & Partner)
- J12 UK Tax**
Birgitt Schitthoff-Hönninger (RBC Investor Services Bank S.A.)
- J13 Austrian Tax**
Johannes Höring (Structured Invest S.A.)
- J14 Swiss Tax**
Yvonne Billerbeck (UBS Fund Services (Luxembourg) S.A.)

K Risk Management Committee

Chairmen: **Christoph Adamy**
(Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
Thomas Nummer (Trinova Group)
Olivier Carré (PricewaterhouseCoopers, Société Coopérative)
ALFI Coordinator: **David Zackenfels**

- K1 Risk Management Steering committee**
Christoph Adamy (Allianz Global Investors GmbH, Zweigniederlassung Luxembourg)
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2 Governance Forum

Chairman: **Henry Kelly** (KellyConsult S.à.r.l.)
ALFI Coordinator: **David Zackenfels**

- 2.1 **Conducting Officer Roles and ManCo**
Gregory Robinson-Kok
(ManagementPlus (Luxembourg) S.A.)
Craig Blair (Franklin Templeton International Services S.à.r.l.)
- 2.1.1 **Due diligence Reviews of Service Providers**
Revel Wood (FundRock Management Company S.A.)
Elina Vincent (Aberdeen Global Services S.A.)
Gregory Robinson-Kok, (FundRock Management Company S.A.)
- 2.2 **ALFI Code of Conducts**
Henry Kelly (KellyConsult S.à.r.l.)

3 Investor Forum

Chairmen: **Kim Pilgaard**
(Nordea Investment Funds S.A.)
Simon Ramos (Deloitte Luxembourg)
ALFI Coordinator: **Anna Prihodova**

4 TA & Distribution Forum

Chairwoman: **Josée-Lynda Denis** (ALFI)
ALFI Coordinator: **Alexander Fischer**

- 4. **TA Forum Steering Committee (TASC)**
Josée-Lynda Denis (ALFI)
- 4.1 **Operations**
Josée-Lynda Denis (ALFI)
- 4.1.1 **Standardisation**
Valérie Letellier
(Society for Worldwide Interbank Financial Telecommunication)
- 4.1.2 **Distribution Support**
Junaed Kabir
(Junaed Kabir and Associates)
- 4.1.3 **TA Regulatory**
Pierre Mottion
(BNP Paribas Securities Services, succursale de Luxembourg)

- 4.1.4 **Alternative Investments Operations**
Josée-Lynda Denis (ALFI)
Keith Hale
(Multifonds/IGEFI Group S.à.r.l.)
- 4.2 **Communication**
Josée-Lynda Denis (ALFI)
- 4.2.1 **Marketing & Communication**
Josée-Lynda Denis (ALFI)
- 4.2.2 **Events**
Josée-Lynda Denis (ALFI)
- 4.2.4 **Industry Associations: Building Bridges**
Josée-Lynda Denis (ALFI)

5 ABBL / ALFI Depository Bank Forum

Chairwoman: **Sonia Thein-Biraschi**
(State Street Bank Luxembourg S.A.)
ALFI Coordinator: **Alexander Fischer**

- 5.1 **Depository Bank Forum Steering Committee**
Sonia Thein-Biraschi
(State Street Bank Luxembourg S.A.)
 - 5.2 **Depository Guidelines & Best Practices**
Yvan de Laurentis
(BNP Paribas Securities Services, succursale de Luxembourg)
Christian Ridole
(J.P.Morgan Bank Luxembourg S.A.)
 - 5.2.1 **In-Bank assets**
Christoph Haas (Ernst & Young S.A.)
 - 5.2.2 **Not-In-Bank Assets**
Pierre Legardien (Brown Brothers Harriman (Luxembourg) S.C.A.)
Christian Ridole
(J.P.Morgan Bank Luxembourg S.A.)
Laurent Fessmann
(Baker & McKenzie Luxembourg)
 - 5.2.4 **Oversight duties**
Christian Ridole
(J.P.Morgan Bank Luxembourg S.A.)
 - 5.2.5 **Training and Education**
Yvan de Laurentis
(BNP Paribas Securities Services, succursale de Luxembourg)
 - 5.3 **External Relations**
Franck Wassmer (The Bank of New York Mellon (Luxembourg) S.A.)
 - 5.4 **New Regulations Forum**
Hermann Beythan (Linklaters LLP)
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6

Digital / FinTECH Forum

Chairmen: **Steven Libby** (Pricewaterhouse
Coopers, Société Coopérative)

Serge Weyland

(Banque Internationale à Luxembourg S.A.)

ALFI Coordinator: François Drazdik

- 6.1 Digital/FinTECH Steering Committee**
Steven Libby (Pricewaterhouse
Coopers, Société Coopérative)
Serge Weyland (Banque Internationale
à Luxembourg S.A.)
 - 6.2 Digital Investing**
Said Fihri (KPMG Luxembourg,
Société coopérative)
Laurent Denayer (ume)
 - 6.3 Data Management & Security**
Keith Hale
(Multifonds/IGEFI Group S.à.r.l.)
Marc Mouton
(Arendt & Medernach S.A.)
 - 6.4 Blockchain & Crypto-Currencies**
Laurent Marochini (Société Générale
Securities Services Luxembourg)
Pierre Bauwens
(Deloitte Luxembourg)
 - 6.5 Equity Crowd Funding and P2P
Lending**
David Louis (Charles Russell
Speechlys Luxembourg)
Hakan Lucius
(European Investment Bank)
-